

**Annexure I to Directors' Report
FORM NO. MGT 9**

EXTRACT OF ANNUAL RETURN

as on the financial year ended on March 31, 2020

*[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014]*

I. REGISTRATION & OTHER DETAILS:

i	CIN	L67120MH1994PLC218169
ii	Registration Date	February 15, 1994
iii	Name of the Company	KLG CAPITAL SERVICES LIMITED
iv	Category/ Sub-Category of the Company	Company Limited by Shares, Non-Govt. Company
v	Address of the Registered office & contact details	
	Address	209, SKIL House, Bank Street Cross Lane, Fort
	Town / City	Mumbai - 400 023
	State	Maharashtra
	Country Name	India
	Telephone (with STD Code)	022 6619 9000
	Fax Number	022 2269 6024
	Email Address	company.secretary@klgcapital.com
	Website, if any	www.klgcapital.com
vi	Whether listed company	Yes
vii	Name and Address of Registrar & Transfer Agents (RTA):-	
	Name of RTA	Link Intime India Private Limited
	Address	44, Community Centre, 2nd Floor, Naraina Industrial Area, Phase-1, Near PVR Naraina
	Town / City	New Delhi
	State	Delhi
	Pin Code:	110 028
	Telephone	011 - 41410592/93/94
	Fax Number	011 - 41410591
	Email Address	delhi@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITY OF THE COMPANY 1

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product / Service	% to total turnover of the company
1	Interest on ICD	649	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable Section
1	Awaita Properties Private Limited 209, SKIL House, Bank Street Cross Lane, Fort, Mumbai - 400 023	U 4 5 1 0 2 M H -1995PTC084308	HOLDING	60.46	2(46)
2	KLK Stock Brokers Private Limited 209, SKIL House, Bank Street Cross Lane, Fort, Mumbai - 400 023	U 7 4 1 2 0 M H -2010PTC207363	SUBSIDIARY	100	2(87)

KLG Capital Services Limited
IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)
i. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter & Promoter Group									
(1) Indian									
a) Individual/ HUF	0	0	0	0	0	0	0	0	0.00
b) Central Government	0	0	0	0	0	0	0	0	0.00
c) State Government	0	0	0	0	0	0	0	0	0.00
d) Bodies Corp.	1936075	0	1936075	60.46	1936075	0	1936075	60.46	0.00
e) Banks / FI	0	0	0	0	0	0	0	0	0.00
f) Any other	0	0	0	0	0	0	0	0	0.00
Sub-total (A) (1)	1936075	0	1936075	60.46	1936075	0	1936075	60.46	0.00
(2) Foreign									
a) Individual (NRI/ Foreign Ind.)	0	0	0	0	0	0	0	0	0.00
b) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0.00
c) Government	0	0	0	0	0	0	0	0	0.00
d) Banks / FI	0	0	0	0	0	0	0	0	0.00
e) Any Others	0	0	0	0	0	0	0	0	0.00
Sub-total (A) (2)	0	0	0	0	0	0	0	0	0.00
Total shareholding of Promoter	1936075	0	1936075	60.46	1936075	0	1936075	60.46	0.00
(A)=(A)(1)+(A)(2)									
B. Public Shareholding									
1. Institutions									
a) Mutual Funds/ UTI	0	0	0	0	0	0	0	0	0.00
b) Banks / FI	0	0	0	0	0	0	0	0	0.00
c) Alternate Investment Fund	0	0	0	0	0	0	0	0	0.00
d) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0.00
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
f) Insurance Companies	0	0	0	0	0	0	0	0	0.00
g) Provident/ Pension Fund	0	0	0	0	0	0	0	0	0.00
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
i) Others (specify)	0	0	0	0	0	0	0	0	0.00
Sub-total (B)(1)	0	0	0	0	0	0	0	0	0.00
(2) Central / State Government(s) / President of India									
Sub-total (B)(2)	0	0	0	0	0	0	0	0	0.00
3. Non-Institutions									
a) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	438461	2933	441394	13.78	434770	2933	437703	13.67	-0.12
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	296264	0	296264	9.25	300004	0	300004	9.37	0.12
b) NBFC's registered with RBI	0	0	0	0	0	0	0	0	0.00
c) Employee Trusts	0	0	0	0	0	0	0	0	0.00
d) Overseas Depositories (holding DR's) (balancing figure)	0	0	0	0	0	0	0	0	0.00
e) Any Other (specify)									
Hindu Undivided Family	43267	0	43267	1.35	43518	0	43518	1.36	0.0078
Non Resident Indians (non repeat)	676	0	676	0.02	676	0	676	0.02	0.00
Other Directors	3500	0	3500	0.11	3000	0	3000	0.09	-0.0156
Non Resident Indians (non repeat)	8005	0	8005	0.25	8005	0	8005	0.25	0.00
Clearing Member	1751	0	1751	0.06	1115	0	1115	0.03	-0.0199
Bodies Corporate	471468	0	471468	14.72	472304	0	472304	14.75	0.0261
Sub-total (B)(3)	1263392	2933	1266325	39.54	1263392	2933	1266325	39.54	0.00

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)	1263392	2933	1266325	39.54	1263392	2933	1266325	39.54	0.00
Total (A) +(B)	3199467	2933	3202400	100.00	3199467	2933	3202400	100	0.00
(C) Non Promoter- Non Public	0	0	0	0	0	0	0	0	0.00
(1) Custodian/ DR Holder									
(2) Employee Benefit Trust under SEBI (share based Employee Benefit) (Regulations, 2014)	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	3199467	2933	3202400	100.00	3199467	2933	3202400	100.00	0.00

ii. Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	%of Shares Pledged / encumbered to total shares	
1	Awaita Properties Pvt. Ltd.	1936075	60.46	0.00	1936075	60.46	0.00	0.00
	TOTAL	1936075	60.46	0.00	1936075	60.46	0.00	0.00

iii. Change in Promoters' Shareholding (please specify, if there is no change)

Shareholding at the beginning of the year Cumulative Shareholding during the year

	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year	1936075	60.46	1936075	60.46
Changes during the year	-	-	-	-
At the End of the year	1936075	60.46	1936075	60.46

iv Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	Name of the Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1.	Karanja Infrastructure Private Limited				
	At the beginning of the year	107185	3.35	107185	3.35
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/ sweat equity, etc.)			-	-
	At the end of the year	107185	3.35	107185	3.35
2.	Ranveer Infrastructure Pvt. Ltd.				
	At the beginning of the year	103500	3.23	103500	3.23
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/ sweat equity, etc.)	-	-	-	-
	At the End of the year	103500	3.23	103500	3.23

KLG Capital Services Limited

Sr. No.	Name of the Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
3.	Verona Capital Ltd.				
	At the beginning of the year	95998	3.00	95998	3.00
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	At the End of the year	95998	3.00	95998	3.00
4.	Hansa Infrastructure Pvt. Ltd.				
	At the beginning of the year	85000	2.65	85000	2.65
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	At the End of the year	85000	2.65	85000	2.65
5.	Parag Infrastructure Pvt. Ltd.				
	At the beginning of the year	52523	1.64	52523	1.64
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	At the End of the year	52523	1.64	52523	1.64
6.	BhavaneeshAthikary				
	At the beginning of the year	52034	1.62	52034	1.62
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	At the End of the year	52034	1.62	52034	1.62
7.	V P Dharmarajan				
	At the beginning of the year	30000	0.94	30000	0.94
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	Increase/Decrease				
	Date	Reasons for Increase/Decrease			
	08.11.2019	Purchase		2000	
	15.11.2019	Purchase		1000	
	29.11.2019	Purchase		200	
	06.12.2019	Purchase		550	
	13.12.2019	Purchase		301	
	20.12.2019	Purchase		72	
	27.12.2019	Purchase		200	
	10.01.2020	Purchase		77	
	17.01.2020	Purchase		800	
	At the End of the year	35200	1.10	35200	1.10

Sr. No.	Name of the Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
8.	Mayna Hitesh Shah				
	At the beginning of the year	36921	1.15	36921	1.15
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)				
	Increase/Decrease				
	Date	Reasons for Increase/Decrease			
	03.01.2020	Sale		(77)	
	24.01.2020	Sale		(871)	
	31.01.2020	Sale		(1000)	
	06.03.2020	Sale		(15)	
	20.03.2020	Purchase		2	
	At the End of the year	34960	1.90	34960	1.09
9.	Priyanka Singhvi				
	At the beginning of the year	28673	0.90	28673	0.90
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g. allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	At the End of the year	28673	0.90	28673	0.90
10.	Puneeta Garg				
	At the beginning of the year	24000	0.75	24000	0.75
	Date wise increase/decrease in shareholding during the year specifying the reasons for such increase/decrease (e.g.allotment/transfer/bonus/sweat equity, etc.)	-	-	-	-
	At the End of the year	24000	0.75	24000	0.75

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding Deposits	Unsecured Deposits	Deposit	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year				
i) Addition	-	-	-	-
ii) Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

The Company has not availed any loan during the year under review except from the Holding Company.

KLG Capital Services Limited
VI . REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration of Managing Director (MD), Whole-time Director (WTD) and/or Manager: (Rs. in Lacs)

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager	
		Mr. Chakradhar Das	Total Amount
1	Gross salary	3,90,000	3,90,000
	(a) Salary as per provisions contained in section 17(1) of the Income- tax Act, 1961	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit	-	-
	- others, specify	-	-
5	Others, please specify	-	-
	Total (A)	3,90,000	3,90,000

B. Remuneration to Other Directors (Rs. in Lacs)

Sr. No	Independent Directors	Name of Directors			Amount
		Ms. Gayathri Ramachandran	Mr. V. Ramanan	Mr. J. Alexander	
1	Particulars of Remuneration				
	Particulars of Remuneration				
	Fee for attending board/committee	30,000	30,000	25,000	85,000
	Meetings				
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (1)	30,000	30,000	25,000	85,000
2	Other Non-Executive Directors	Mr. Priyanka Gandhi	Mr. Nilesh Mehta (Upto 13.11.2019)		
	Fee for attending board/committee	-	-	-	-
	Meetings				
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	30,000	30,000	25,000	85,000
	Total Managerial Remuneration	30,000	30,000	25,000	85,000

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. no.	Particulars of Remuneration	Key Managerial Personnel		
		Ankit Pratap Singh	Ms. Payal Mathur	Total
		Chief Financial Officer (CFO)	Company Secretary (CS) w.e.f. 13.11.2019 upto 12.02.2020	
1	Gross salary	-	-	
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,60,000	36,000	3,96,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	3,60,000	36,000	3,96,000

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			None		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			None		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			None		
Punishment					
Compounding					